



8-31-04

LFW

Express Mail No. EV331755565US
Attorney Docket No. P9538
Client/Matter No. 80168.0338

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Charles R. Hellier

Serial No. 10/715,991

Filed: November 18, 2003

For: PROACTIVE POLICY-DRIVEN SERVICE
PROVISIONING FRAMEWORK

Group Art Unit: 2122

Examiner: Not Yet Assigned

Confirmation No.: 4883

CERTIFICATE OF MAILING BY EXPRESS MAIL

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the attached:

1. Information Disclosure Statement;
2. Form PTO/SB/08A, with references;
3. Certificate of Mailing; and
4. Return card

relating to the above application, were deposited as "Express Mail" Mailing Label No. EV331755565US, with the United States Postal Service, addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 30 August 2004

30 August 2004
Date

27 August 2004
Date

Mailer


William J. Kubida, Reg. No. 29,664
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax



Express Mail No. EV331755565US
Attorney Docket No. P9538
Client/Matter No. 80168.0338

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Charles R. Hellier

Serial No. 10/715,991

Filed: November 18, 2003

For: PROACTIVE POLICY-DRIVEN SERVICE
PROVISIONING FRAMEWORK

Group Art Unit: 2122

Examiner: Not Yet Assigned

Confirmation No.: 4883

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

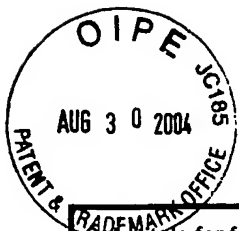
Applicant hereby submits for filing under 37 CFR 1.97 a disclosure statement. In submitting these references, no representation is made or implied that the references are or are not material to the examination of this application. The patents, publications or other information of which Applicant is presently aware are listed in Form PTO/SB/08A submitted herewith and copies of all such patents and publications are attached hereto.

Because an office action has not yet been mailed in this case, no fee is believed required. However, any fee deficiency associated with this submittal may be charged to Deposit Account No. 50-1123.

Respectfully submitted,

27 August 2004
Date

William J. Kubida, Reg. No. 29,664
HOGAN & HARTSON LLP
One Tabor Center
1200 17th Street, Suite 1500
Denver, Colorado 80202
(719) 448-5909 Tel
(303) 899-7333 Fax



PTO/SB/08a(08/03)

Approved for use through 07/31/2006. OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/715,991
				Filing Date	November 18, 2003
				First Named Inventor	Charles R. Hellier
				Art Unit	2122
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket No.	P9538

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published	T ²
		N1 Grid Provisioning Server 3.1 Blades Edition, Sun Products & Services, http://www.sun.com/software/products/provisioning_server/index.html, pp. 1-2.	
		HP to Demonstrate UDC-Grid Connection at GlobusWorld, HP News Release, Palo Alto, Calif., http://www.hp.com/cgi-bin/pf-new.cgi?IN=http://www.hp.com/hpinfo/newsroom/press/2003/030113c.html, Jan. 13, 2003, pp. 1-2.	
		SCANNELL, ED, IBM's Project eLiza aims at self-managing, self-healing servers, InfoWorld, http://archive.infoworld.com/articles/hn/xml/01/04/27/010427hneliza.xml, April 27, 2001, pp. 1-4.	
		N1™ Provisioning Server 3.0, Blades Edition, Sun Microsystems Release Notes, June 2003, pp. 1-34.	
		Utility Computing, Welcome to Egenera – Tomorrow's Datacenter Today, http://www.egenera.com/prod_spec_vision.php, pp. 1-3.	
		White Papers, Welcome to Egenera – Tomorrow's Datacenter Today, http://www.egenera.com/whitepap.php, pp. 1-6.	
		The Egenera™ Processing Area Network (PAN) Architecture, egenera™ White Papers, Marlboro, MA, 2002, pp. 1-21.	

EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			
¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			